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**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

David R. Tushie et al.

Examiner: Mary Wang

Serial No.:

09/416,252

Group Art Unit: 2171

Filed:

October 12, 1999

Docket: 457.003US3

Title:

SYSTEM AND APPARATUS FOR SMART CARD PERSONALIZATION

# PETITION TO WITHDRAW HOLDING OF ABANDONMENT BASED ON FAILURE TO RECEIVE OFFICE ACTION UNDER 37 CFR § 1.181(a) OR, IN THE ALTERNATIVE, PETITION TO REVIVE ABANDONED APPLICATION UNDER 37 CFR § 1.137(b)

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**BOX DAC** 

MAY 3 0 2001

Assistant Commissioner for Patents Washington, D.C. 20231

OFFICE OF PETITIONS

As provided in MPEP § 711.03(c), the undersigned attorney as Representative for the Applicant believes that the above-identified application was improperly abandoned by the Office, and hereby petitions for withdrawal of abandonment based on the following facts and information:

- 1. An Office Action dated June 16, 2000, was received via fax by Applicant's Representative on January 23, 2001. A Notice of Abandonment dated March 27, 2001, was received by Applicant's Representative on April 2, 2001. The 6-month statutory period for response to the Office Action expired on December 16, 2000.
- 2. Upon information and belief, Applicant's Representative did not receive the Office Action dated June 16, 2000, prior to December 16, 2000, which was the end of the statutory period for response.
- 3. Applicant's Representative has made a search of the file jacket and docket records of the above-identified application. The search of both the file jacket and the docket records indicates that the Office Action dated June 16, 2000, was not received prior to December 16, 2000. A copy of the docket record for the three months subsequent to June 16, 2000, is attached hereto as an Exhibit entitled "Office Actions Received 6/16/2000- 9/16/2000."

Serial Number: 09/416,252 Filing Date: October 12, 1999

SYSTEM AND APPARATUS FOR SMART CARD PERSONALIZATION

- 4. No fee is believed due for this petition, but if a fee is required, it may be charged to Center 2. Deposit Account No. 19-0743.
- 5. Although Applicant's Representative believes this Petition to Withdraw Holding of Abandonment Based on Failure to Receive Office Action under 37 CFR § 1.181(a) to be correct and appropriate, he also states that any error inadvertently made by him which resulted in abandonment of this application was unintentional. In the event it is deemed that a Petition to Revive Abandoned Application under 37 CFR § 1.137(b) is required to revive this application, such petition is hereby made, and any fees required may be charged to Deposit Account No. 19-0743.
- 6. This petition is also accompanied by a response to the Office Action dated June 16, 2000.

Respectfully submitted,

MAY 3 0 2001

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DAVID R. TUSHIE ET AL.

OFFICE OF PETITIONS

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938

Minneapolis, MN 55402

Owen J. Gamoi

Reg. No. 36,143

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231, on

Signature

Name

|     | Serial #  | Date Received |             | Serial #  | Date Received |
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Page

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| 299.       08/896484       09/05/2000         300.       09/002906       09/05/2000         301.       09/528944       09/05/2000         302.       09/303843       09/05/2000         303.       09/316741       09/05/2000         304.       09/375327       09/05/2000         305.       09/435588       09/05/2000         306.       09/471708       09/05/2000         307.       09/157207       09/05/2000         308.       09/157883       09/05/2000   |   |
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